

Docket No.: GNE.2930R1C1

Customer No. 30,313

### INFORMATION DISCLOSURE STATEMENT

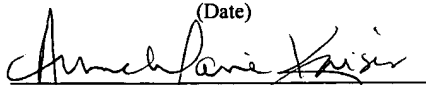
Applicant : Botstein, et al.  
App. No : 09/866,034  
Filed : May 25, 2001  
For : SECRETED AND  
TRANSMEMBRANE POLYPEPTIDES  
AND NUCLEIC ACIDS ENCODING  
THE SAME  
Examiner : Spector, Lorraine  
Art Unit : 1647

#### CERTIFICATE OF MAILING

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June 16, 2005

(Date)

  
AnneMarie Kaiser, Reg. No. 37,649

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 53 references to be considered by the Examiner. Also enclosed are 40 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

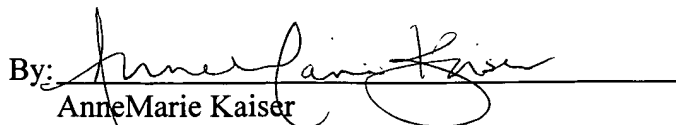
This Information Disclosure Statement is being filed within three months of the filing date, with an RCE or before receipt of a first office action after an RCE and no fee is required.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

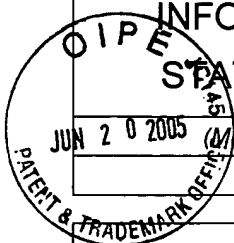
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: June 16, 2005

By:   
AnneMarie Kaiser  
Registration No. 37,649  
Attorney of Record  
Customer No. 30,313  
(619) 235-8550

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT



(Multiple sheets used when necessary)

SHEET 1 OF 3

Application No.	09/866,034
Filing Date	May 25, 2001
First Named Inventor	Botstein, et al.
Art Unit	1647
Examiner	Spector, Lorraine
Attorney Docket No.	GNE.2930R1C1

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	6,025,156	02-15-2000	Gwynn, et al.	
	2	6,124,433	09-26-2000	Falb, et al.	
	3	6,156,500	12-05-2000	Falb, Dean	
	4	6,162,604	12-19-2000	Jacob, Chaim O.	
	5	6,228,582	05-08-2001	Rodier, et al.	
	6	6,395,306	05-28-2002	Cui, et al.	
	7	6,414,117	07-02-2002	Levinson, D. A.	
	8	6,465,185	10-15-2002	Goldfine, et al.	
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	12	6,730,502	05-04-2004	Van Hijum, et al.	
	13	6,737,522	05-18-2004	Sundick, et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	14	WO 97/38085	10-16-1997	California Pacific Medical Center		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	15	ALBERTS, et al. 1994. <i>Molecular Biology of the Cell</i> , 3rd Edition, pp. 403-404, 453. New York: Garland Publishing.	
	16	ALBERTS, et al. 2002. <i>Molecular Biology of the Cell</i> 4th Edition, pp. 302, 363-364, 379, 435. New York: Garland Publishing.	
	17	ALITALO 1984. Amplification of cellular oncogenes in cancer cells. <i>Med. Biol.</i> , 62:304-317	
	18	ALLMAN, et al. 1996. <i>Blood</i> , Vol 87, No. 12, pp. 5257-5288.	
	19	BANHASSY, et al. 2004. Cyclin A and cyclin D1 as significant prognostic markers in colorectal cancer patients. <i>BMC Gastroenterology</i> , 4:22-34.	

Examiner Signature	Date Considered
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application No.	09/866,034
	Filing Date	May 25, 2001
	First Named Inventor	Botstein, et al.
	Art Unit	1647
(Multiple sheets used when necessary)	Examiner	Spector, Lorraine
SHEET 2 OF 3	Attorney Docket No.	GNE.2930R1C1

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	20	BIECHE, et al. 1998. Novel Approach to Quantitative Polymerase Chain Reaction Using Real-Time Detection: Application to the Detection of Gene Amplification in Breast Cancer. <i>Int. J. Cancer</i> . 78:661-666.	
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<p><b>*Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

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NON PATENT LITERATURE DOCUMENTS			
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